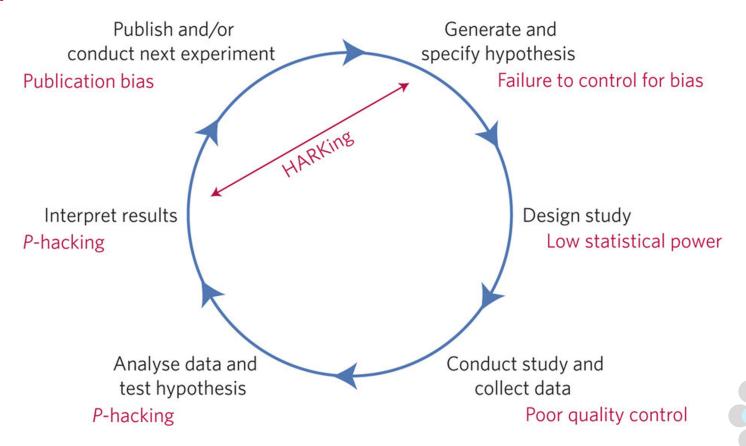
# **PREREGISTRATION**

PREREGISTERED

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University of Michigan
Methods Hour
10/8/2021

Slides available at cos.io/prereg

#### Hypo-Deductive Model of the Scientific Method



#### The Problem

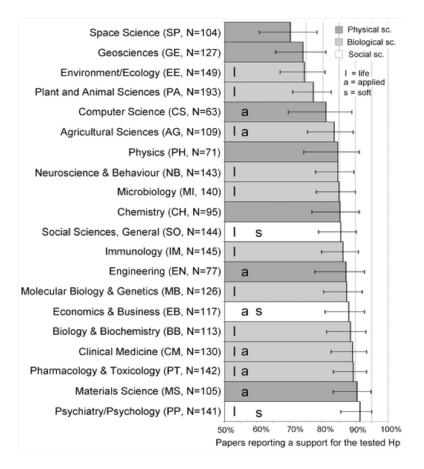
The combination of a strong bias toward statistically significant findings and flexibility in data analysis results can lead to irreproducible research



#### The Problem

The combination of a strong bias toward statistically significant findings and flexibility in data analysis results can lead to irreproducible research





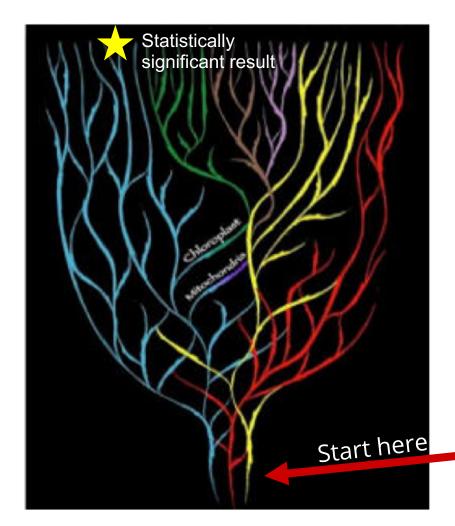
Fanelli D (2010) "Positive" Results Increase Down the Hierarchy of the Sciences. PLoS ONE 5(4): e10068. doi:10.1371/journal.pone.0010068 http://127.0.0.1:8081/plosone/article?id=info:doi/10.1371/journal.pone.0010068



#### The Problem

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#### The Garden of Forking Paths

Control for time?

Exclude outliers?

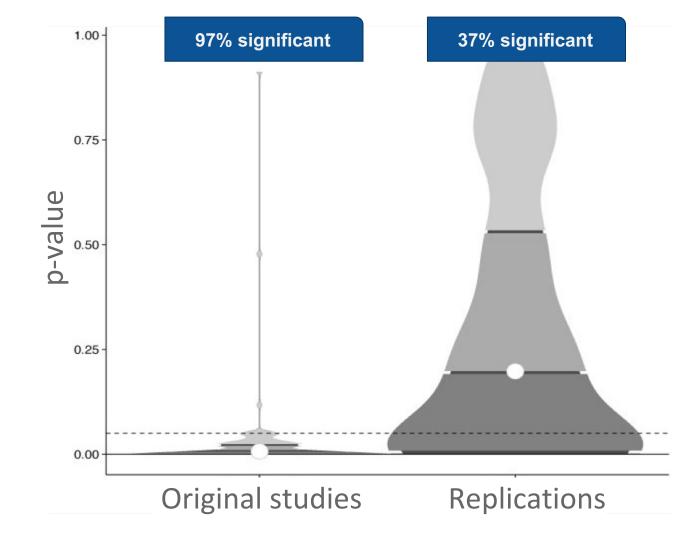
Median or mean?

**Hypothesis: "Does X affect Y?"** 

#### The Problem

The combination of a strong bias toward statistically significant findings and flexibility in data analysis results can lead to irreproducible research





# What is Preregistration?



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A time-stamped, read-only version of your research plan created *before* you begin data collection.



## What is Preregistration?

A time-stamped, read-only version of your research plan created *before* you begin data collection.

#### It contains:

- Hypothesis
- Data collection procedures
- Manipulated and measured variables
- Statistical model
- Inference criteria



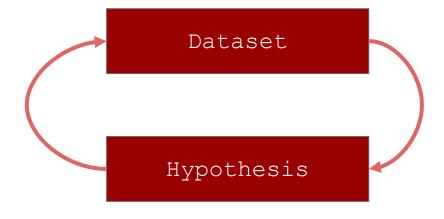
When the research plan undergoes **peer review before results are known**, the preregistration becomes part of a
Registered Report





### What problems does preregistration fix?

- 1. The file drawer effect
- 2. **P-Hacking**: Unreported flexibility in data analysis
- 3. HARKing: Hypothesizing After Results are Known





### What problems does preregistration fix?

Preregistration makes the distinction between confirmatory (hypothesis testing) and exploratory (hypothesis generating) research more clear.



#### Confirmatory vs. Exploratory Analysis

#### **Context of confirmation**

- Traditional hypothesis testing
- Results held to the highest standards of rigor
- Goal is to minimize false positives

P-values interpretable

#### **Context of discovery**

- Pushes knowledge into new areas/ data-led discovery
- Finds unexpected relationships
- Goal is to minimize false negatives

P-values meaningless



#### Confirmatory vs. Exploratory Analysis

Contact of confirmation Contact of discovery

Presenting exploratory results as confirmatory increases the publishability of results **at the expense of credibility of results.** 

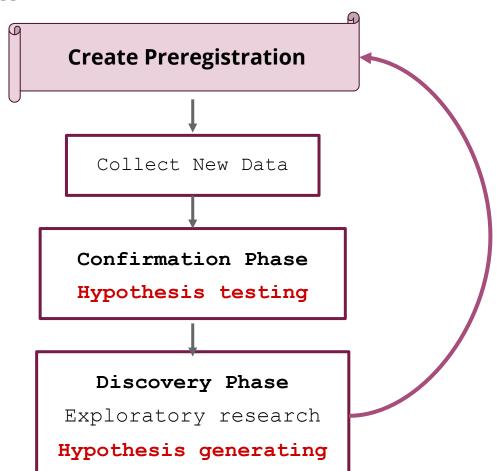
P-values interpretable

P-values meaningless



#### **Example workflow**

Theory driven, apriori expectations



### **Prereg Templates**

#### Registration Forms and Templates

| Registration Forms  | Description  | Templates   |
|---|--|---|
| OSF Prereg* (citable from this preprint)                                      | This is our standard, comprehensive, and general purpose preregistration form.   | Google Doc, OSF Workflow,R Markdown by<br>Frederik Aust, R Markdown by James Bartlett |
| Open-Ended Registration   | Summary of registered work with a time-stamped snapshot of a research project. Use this one if you are registering a completed project with data or materials. | Word, GoogleDoc   |
| Qualitative Preregistration* (Haven et al 2020)                               | Template for registering primarily qualitative work.   | Word, GoogleDoc, FAQ  |
| AsPredicted Preregistration* form here  | Eight questions derived from content recommended by AsPredicted.org.   | Word, GoogleDoc   |
| OSF-Standard Pre-Data Collection<br>Registration                              | State whether data have been collected or viewed and other pertinent comments.<br>Use this one if your pre-analysis plan is uploaded on OSF as a doc           | Word, GoogleDoc   |
| Replication Recipe (Brandt et al., 2013):<br>Pre-Registration*                | Register a replication study with a series of questions regarding the original work.   | Word, GoogleDoc   |
| Replication Recipe (Brandt et al., 2013):<br>Post-Completion                  | Register a replication study after it has been conducted with questions regarding the outcomes of the replication.   | Word, GoogleDoc   |
| Pre-Registration in Social Psychology<br>(van 't Veer & Giner-Sorolla, 2016)* | Preregister a research study outlining the hypotheses, methods, and analysis plan  | Word, GoogleDoc, OSF  |
| Registered Report Protocol<br>Preregistration                                 | Register your protocol AFTER having been given "in-principle acceptance" from a Registered Report journal  | Word, GoogleDoc, OSF Workflow   |
| Secondary Data Preregistration*   | For preregistering a research project that uses an existing dataset.   | <u>OSF Page</u> , Example, FAQ  |
|   |  |   |

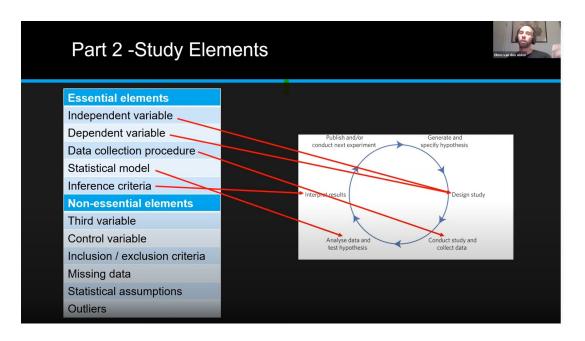
#### **Prereg Templates**

These forms are not available as guided workflows on the OSF, but rather as template docs that you can fill out and register using the "Open-Ended Registration" form. We are always evaluating our guided workflows and will likely include one or more of these in the future.

| Registration Forms                            | Description   | Templates |
|---|---|-----------|
| OLD "Qualitative Research<br>Preregistration" | This is an earlier version of the form that is now included on OSF  | OSF page  |
| Cognitive Modeling (Model<br>Application)*    | 7 117 0   |           |
| fMRI Preregistration Template*                | This project provides a detailed preregistration template for fMRI studies and provides some guidance for common difficulties that can occur for fMRI preregistration projects. | OSF page  |
| Open Stats Lab and Project<br>Tier*           | This preregistration template is geared towards researchers who have little experience with preregistering studies  | OSF Page  |

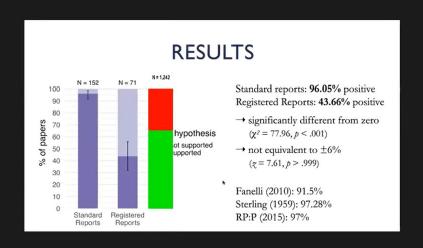
#### **Evidence Prereg Increases Rigor?**

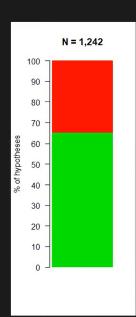
Metascience 2021



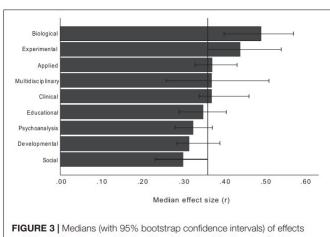




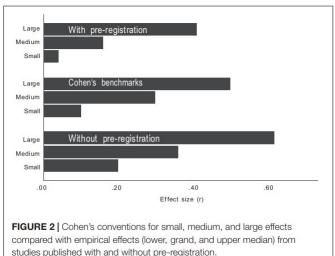




#### **Effect Size Correction**



published without pre-registration (absolute values) for the nine Social Sciences Citation Index psychological sub-disciplines. The bars contain all effects that were extracted as or could be transformed into a correlation coefficient r. The vertical line is the grand median.



studies published with and without pre-registration.

Schäfer T and Schwarz MA (2019) The Meaningfulness of Effect Sizes in Psychological Research: Differences Between Sub-Disciplines and the Impact of Potential Biases. Front. Psychol. 10:813. doi: 10.3389/fpsyg.2019.00813

TABLE 2 | Median, mean, and SD of sample size, and percentage of significant effects for all studies where an effect size (r) was extracted or calculated.

| All studies      |                |                 |        |                  | Between-subjects designs |                 |        | Within-subject designs |                |                 |        |
|------------------|----------------|-----------------|--------|------------------|--------------------------|-----------------|--------|------------------------|----------------|-----------------|--------|
| Mdn <sub>N</sub> | M <sub>N</sub> | SD <sub>N</sub> | % sig. | Mdn <sub>N</sub> | M <sub>N</sub>           | SD <sub>N</sub> | % sig. | Mdn <sub>N</sub>       | M <sub>N</sub> | SD <sub>N</sub> | % sig. |
| Studies w        | ith pre-regis  | tration         |        |                  |                          |                 |        |                        |                |                 |        |
| All disciplin    | nes            |                 |        |                  |                          |                 |        |                        |                |                 |        |
| 268              | 1756           | 12424           | 64     | 358              | 2400                     | 14730           | 63     | 71                     | 181            | 295             | 65     |
| Studies w        | vithout pre-re | gistration      |        |                  |                          |                 |        |                        |                |                 |        |
| All disciplin    | nes            |                 |        |                  |                          |                 |        |                        |                |                 |        |
| 89               | 364            | 1729            | 79     | 82               | 299                      | 849             | 84     | 89                     | 415            | 2198            | 74     |
| Applied          |                |                 |        |                  |                          |                 |        |                        |                |                 |        |
| 190              | 524            | 1892            | 84     | 120              | 214                      | 253             | 100    | 190                    | 616            | 2147            | 79     |
| Biological       |                |                 |        |                  |                          |                 |        |                        |                |                 |        |
| 32               | 132            | 715             | 78     | 36               | 221                      | 1038            | 86     | 30                     | 52             | 74              | 71     |
| Clinical         |                |                 |        |                  |                          |                 |        |                        |                |                 |        |
| 90               | 217            | 461             | 86     | 74               | 278                      | 620             | 87     | 96                     | 165            | 254             | 84     |
| Developme        | ental          |                 |        |                  |                          |                 |        |                        |                |                 |        |
| 82               | 232            | 518             | 80     | 80               | 228                      | 481             | 84     | 88                     | 236            | 554             | 76     |
| Educationa       | al             |                 |        |                  |                          |                 |        |                        |                |                 |        |
| 103              | 453            | 1703            | 68     | 70               | 323                      | 770             | 71     | 107                    | 560            | 2192            | 66     |
| Experimen        | tal            |                 |        |                  |                          |                 |        |                        |                |                 |        |
| 42               | 86             | 221             | 73     | 70               | 106                      | 137             | 83     | 30                     | 77             | 252             | 68     |
| Multidiscip      | linary         |                 |        |                  |                          |                 |        |                        |                |                 |        |
| 160              | 400            | 793             | 83     | 154              | 428                      | 955             | 88     | 160                    | 370            | 589             | 71     |
| Psychoana        | alysis         |                 |        |                  |                          |                 |        |                        |                |                 |        |
| 91               | 387            | 1141            | 79     | 91               | 536                      | 1510            | 77     | 89                     | 219            | 412             | 83     |
| Social           |                |                 |        |                  |                          |                 |        |                        |                |                 |        |
| 150              | 847            | 4153            | 84     | 128              | 207                      | 254             | 89     | 191                    | 1680           | 6341            | 65     |

 $Mdn_N = Median \ of \ sample \ size; \ M_N = Mean \ of \ sample \ size; \ SD_N = SD \ of \ sample \ size; \ \% \ sig. = Percentage \ of \ significant \ effects \ (p < 0.05). \ Note that \ studies \ with$ pre-registration were too few to divide them into sub-disciplines.

PREREGISTERED

Can't someone "scoop" my ideas?



## Can't someone "scoop" my ideas?

- 1. Date-stamped preregistrations make your claim verifiable.
- 2. By the time you've preregistered, you are ahead of any possible scooper.
- 3. Embargo your preregistration.



Isn't it easy to cheat?



#### Isn't it easy to cheat?

- 1. Making a "preregistration" after conducting the study.
- 2. Making multiple preregistrations and only citing the one that "worked."



### Isn't it easy to cheat?

- 1. Making a "preregistration" after conducting the study.
- 2. Making multiple preregistrations and only citing the one that "worked."

While fairly easy to do, this makes fraud more intentional.

Preregistration helps keep you honest to yourself.

## Tips for writing up preregistered work

- 1. Include a link to your preregistration (e.g. <a href="https://osf.io/f45xp">https://osf.io/f45xp</a>)
- 2. Report the results of **ALL** preregistered analyses
- 3. ANY unregistered analyses must be transparent



#### **THANK YOU!**



Learn more: cos.io/prereg

