

Chapter 3

Frequency-based grammar and the acquisition of tense-aspect in L2 learning¹

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1. Frequency and language cognition

The last 50 years of Psycholinguistic research has demonstrated language processing to be exquisitely sensitive to usage frequency at all levels of language representation: phonology and phonotactics, reading, spelling, lexis, morphosyntax, formulaic language, language comprehension, grammaticality, sentence production, and syntax (Ellis 2002a). Language knowledge involves statistical knowledge, so humans learn more easily and process more fluently high frequency forms and ‘regular’ patterns which are exemplified by many types and which have few competitors. Psycholinguistic perspectives thus hold that language learning is the implicit associative learning of representations that reflect the probabilities of occurrence of form-function mappings. Frequency is a key determinant of acquisition because ‘rules’ of language, at all levels of analysis from phonology, through syntax, to discourse, are structural regularities which emerge from learners’ lifetime unconscious analysis of the distributional characteristics of the language input.

It is these ideas which underpin the last 30 years of investigations of language cognition using connectionist and statistical models (Christiansen and Chater 2001; Elman, et al. 1996; Rumelhart and McClelland 1986), the competition model of language learning and processing (Bates and MacWhinney 1987; MacWhinney 1987b, 1997), the investigation of how frequency and repetition bring about form in language and how probabilistic knowledge drives language comprehension and production (Bod, Hay, and Jannedy 2003; Bybee and Hopper 2001; Ellis 2002a, 2002b; Jurafsky 2002; Jurafsky and Martin 2000), and the proper empirical investigations of the structure of language by means of corpus analysis.

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1 Frequency, learning, and language come together in usage-based ap-
2 proaches which hold that we learn linguistic constructions while engaging
3 in communication, the “interpersonal communicative and cognitive pro-
4 cesses that everywhere and always shape language” (Slobin 1997, Niemeier,
5 Chapter 1 this volume). Constructions are form-meaning mappings, con-
6 ventionalized in the speech community, and entrenched as language
7 knowledge in the learner’s mind. They are the symbolic units of language
8 relating the defining properties of their morphological, syntactic, and
9 lexical form with particular semantic, pragmatic, and discourse functions
10 (Bates and MacWhinney 1987; Bybee 2008; Croft 2001; Croft and Cruise
11 2004; Goldberg 1995, 2003, 2006; Lakoff 1987; Langacker 1987; Robinson
12 and Ellis 2008; Tomasello 2003). Goldberg’s (2006) Construction Grammar
13 argues that all grammatical phenomena can be understood as learned pair-
14 ings of form (from morphemes, words, idioms, to partially lexically filled
15 and fully general phrasal patterns) and their associated semantic or dis-
16 course functions: “the network of constructions captures our grammatical
17 knowledge *in toto*, i.e. It’s constructions all the way down” (Goldberg
18 2006, p. 18). Such beliefs, increasingly influential in the study of child
19 language acquisition, have turned upside down generative assumptions of
20 innate language acquisition devices, the continuity hypothesis, and top-
21 down, rule-governed, processing, bringing back data-driven, emergent
22 accounts of linguistic systematicities. Constructionist theories of child
23 language acquisition use dense longitudinal corpora to chart the emergence
24 of creative linguistic competence from children’s analyses of the utterances
25 in their usage history and from their abstraction of regularities within
26 them (Goldberg 1995, 2003, 2006; Tomasello 2003, 1998). Children typi-
27 cally begin with phrases whose verbs are only conservatively extended to
28 other structures. A common developmental sequence is from formula to
29 low-scope slot-and-frame pattern, to creative construction.

32 **2. Frequency and concept learning**

34 It is human categorization ability that provides the most persuasive testa-
35 ment to our incessant unconscious tallying of associations. We know that
36 natural categories are fuzzy rather than monothetic. Wittgenstein’s (1953)
37 consideration of the concept *game* showed that no set of features that we
38 can list covers all the things that we call games, ranging as the exemplars
39 variously do from soccer, through chess, bridge, and poker, to solitaire.
40 Instead, what organizes these exemplars into the *game* category is a set of

1 family resemblances among these members – son may be like mother, and
 2 mother like sister, but in a very different way. And we learn about these
 3 families, like our own, from experience. Exemplars are similar if they
 4 have many features in common and few distinctive attributes (features
 5 belonging to one but not the other); the more similar are two objects on
 6 these quantitative grounds, the faster are people at judging them to be
 7 similar (Tversky 1977). Prototypes, exemplars which are most typical of
 8 a category, are those which are similar to many members of that category
 9 and not similar to members of other categories. Again, the operationalisa-
 10 tion of this criterion predicts the speed of human categorization perfor-
 11 mance – people more quickly classify as *birds* sparrows (or other average
 12 sized, average colored, average beaked, average featured specimens) than
 13 they do birds with less common features or feature combinations like kiwis
 14 or penguins (Rosch and Mervis 1975; Rosch, Mervis, Gray, Johnson, and
 15 Boyes-Braem 1976).

16 Prototypes are judged faster and more accurately, even if they themselves
 17 have never been seen before – someone who has never seen a sparrow, yet
 18 who has experienced the rest of the run of the avian mill, will still be fast
 19 and accurate in judging it to be a bird (Posner and Keele 1970). Such effects
 20 make it very clear that although people do not go around consciously
 21 counting features, they nevertheless have very accurate knowledge of the
 22 underlying frequency distributions and their central tendencies. Cognitive
 23 theories of categorization and generalization show how schematic construc-
 24 tions are abstracted over less schematic ones that are inferred inductively
 25 by the learner in acquisition (Harnad 1987; Lakoff 1987; Taylor 1998).

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28 **3. Frequency and second language acquisition**

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30 Language learners, L1 and L2 both, share the goal of understanding lan-
 31 guage and how it works. Since they achieve this based upon their experi-
 32 ence of language usage, there are many commonalities between first and
 33 second language acquisition that can be understood from corpus analyses
 34 of input and cognitive- and psycho- linguistic analyses of construction
 35 acquisition following associative and cognitive principles of learning and
 36 categorization. Therefore usage-based approaches, cognitive linguistics,
 37 and corpus linguistics are increasingly influential in L2A research too
 38 (Collins and Ellis 2009; Ellis 1998, 2003; Ellis and Cadierno 2009; Robinson
 39 and Ellis 2008), albeit with the twist that since they have previously devoted
 40 considerable resources to the estimation of the characteristics of another

1 language – the native tongue in which they have considerable fluency – L2
2 learners’ computations and inductions are often affected by transfer, with
3 L1-tuned expectations and selective attention (Ellis 2006b) blinding the
4 acquisition system to aspects of the L2 sample, thus biasing their estima-
5 tion from naturalistic usage and producing the limited attainment that is
6 typical of adult L2A. L2A is different from L1A in that it involves pro-
7 cesses of construction and *reconstruction*.

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10 **4. Construction learning as associative learning from usage**

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12 If constructions as form-function mappings are the units of language, then
13 language acquisition involves inducing these associations from experience
14 of language usage. Constructionist accounts of language acquisition thus
15 involve the distributional analysis of the language stream and the parallel
16 analysis of contingent perceptual activity, with abstract constructions being
17 learned from the conspiracy of concrete exemplars of usage following statisti-
18 cal learning mechanisms (Christiansen and Chater 2001) relating input
19 and learner cognition. Psychological analyses of the learning of construc-
20 tions as form-meaning pairs is informed by the literature on the associa-
21 tive learning of cue-outcome contingencies where the usual determinants
22 include: factors relating to the form such as frequency and salience; factors
23 relating to the interpretation such as significance in the comprehension of
24 the overall utterance, prototypicality, generality, and redundancy; factors
25 relating to the contingency of form and function; and factors relating to
26 learner attention, such as automaticity, transfer, overshadowing, and block-
27 ing (Ellis 2002a, 2003, 2006a, 2008b). These various psycholinguistic factors
28 conspire in the acquisition and use of any linguistic construction.

29 These determinants of learning can be usefully categorized into factors
30 relating to (1) input frequency (type-token frequency, Zipfian distribution,
31 recency), (2) form salience and perception, (3) prototypicality of meaning
32 and redundancy), and (4) contingency of form-function mapping.

33

34 **4.1. Input frequency**

35

36 *4.1.1. Construction frequency*

37 Frequency of exposure promotes learning. Ellis’ (2002) review illustrates
38 how frequency affects the processing of phonology and phonotactics, read-
39 ing, spelling, lexis, morphosyntax, formulaic language, language compre-
40 hension, grammaticality, sentence production, and syntax. That language

1 users are sensitive to the input frequencies of these patterns entails that
 2 they must have registered their occurrence in processing. These frequency
 3 effects are thus compelling evidence for usage-based models of language
 4 acquisition that emphasize the role of input.

5 6 4.1.2. *Type and token frequency*

7 Token frequency counts how often a particular form appears in the input.
 8 Type frequency, on the other hand, refers to the number of distinct lexical
 9 items that can be substituted in a given slot in a construction, whether it is
 10 a word-level construction for inflection or a syntactic construction specifying
 11 the relation among words. For example, the “regular” English past
 12 tense *-ed* has a very high type frequency because it applies to thousands
 13 of different types of verbs, whereas the vowel change exemplified in *swam*
 14 and *rang* has much lower type frequency. The productivity of phonologi-
 15 cal, morphological, and syntactic patterns is a function of type rather than
 16 token frequency (Bybee and Hopper 2001). This is because: (a) the more
 17 lexical items that are heard in a certain position in a construction, the less
 18 likely it is that the construction is associated with a particular lexical item
 19 and the more likely it is that a general category is formed over the items
 20 that occur in that position; (b) the more items the category must cover, the
 21 more general are its criterial features and the more likely it is to extend to
 22 new items; and (c) high type frequency ensures that a construction is used
 23 frequently, thus strengthening its representational schema and making it
 24 more accessible for further use with new items (Bybee and Thompson
 25 2000). In contrast, high token frequency promotes the entrenchment or
 26 conservation of irregular forms and idioms; the irregular forms only survive
 27 because they are high frequency. These findings support language’s place
 28 at the center of cognitive research into human categorization, which also
 29 emphasizes the importance of type frequency in classification.

31 4.1.3. *Zipfian distribution*

32
 33 In the early stages of learning categories from exemplars, acquisition is
 34 optimized by the introduction of an initial, low-variance sample centered
 35 upon prototypical exemplars (Elio and Anderson 1981, 1984). This low
 36 variance sample allows learners to get a fix on what will account for most
 37 of the category members. The bounds of the category are defined later by
 38 experience of the full breadth of exemplar types. Goldberg Casenhiser and
 39 Sethuraman (2004) demonstrated that in samples of child language acqui-
 40 sition, for a variety of verb-argument constructions (VACs), there is a

1 strong tendency for one single verb to occur with very high frequency in
 2 comparison to other verbs used, a profile which closely mirrors that of
 3 the mothers' speech to these children.

4 In natural language, Zipf's law (Zipf 1935) describes how the highest
 5 frequency words account for the most linguistic tokens: *the* constitutes
 6 nearly 7% of the Brown Corpus of English usage, *to* more than 3%; while
 7 about half the total vocabulary of about 50,000 words are hapax legomena:
 8 words that occur only once in the corpus. If p_f is the proportion of words
 9 whose frequency in a given language sample is f , then $p_f \sim f^{-\beta}$, with $\beta \approx 1$.
 10 Zipf (1949) showed this scaling relation holds across a wide variety of lan-
 11 guage samples. Subsequent research has shown that many language events
 12 (e.g., frequencies of phoneme and letter strings, of words, of grammatical
 13 constructs, of formulaic phrases, etc.) across scales of analysis follow this
 14 law (Ferrer i Cancho and Solé 2001, 2003). It has strong empirical support
 15 as a linguistic universal and has important implications for language struc-
 16 ture, use, and acquisition.

17 Goldberg et al. (2004) show that Zipf's law applies within VACs too,
 18 and they argue that this promotes acquisition: tokens of one particular
 19 verb account for the lion's share of instances of each particular argument
 20 frame; this pathbreaking verb also is the one with the prototypical mean-
 21 ing from which the construction is derived (see also Ninio 1999, 2006). Ellis
 22 and Ferreira-Junior (2009a, 2009b) investigate effects upon naturalistic
 23 second language acquisition of type/token distributions in the islands
 24 comprising the linguistic form of English verb-argument constructions
 25 (VACs: VL verb locative, VOL verb object locative, VOO ditransitive) in
 26 the ESF corpus (Perdue 1993). They show that in the naturalistic L2A of
 27 English, VAC verb type/token distribution in the input is Zipfian and
 28 learners first acquire the most frequent, prototypical and generic exemplar
 29 (e.g. *put* in VOL, *give* in VOO, etc.). Their work further illustrates how
 30 acquisition is affected by the frequency and frequency distribution of exem-
 31 plars within each island of the construction (e.g. [Subj V Obj Obj_{path/loc}]),
 32 by their prototypicality, and, using a variety of psychological (Shanks
 33 1995) and corpus linguistic association metrics (Gries and Stefanowitsch
 34 2004; Stefanowitsch and Gries 2003), by their contingency of form-function
 35 mapping. Ellis and Larsen-Freeman (2009a) describe connectionist serial-
 36 recurrent network models of these various factors as they play out in the
 37 emergence of constructions as generalized linguistic schema from their fre-
 38 quency distributions in the input.

39 This fundamental claim that Zipfian distributional properties of language
 40 usage helps to make language learnable has thus begun to be explored

1 for these three verb argument constructions, at least. Ellis and O'Donnell
 2 (2012) are exploring its generality across a wide range of VACs in 100
 3 million words of English.

4 4.1.4. *Recency*

6 Language processing also reflects recency effects. This phenomenon, known
 7 as priming, may be observed in phonology, conceptual representations,
 8 lexical choice, and syntax (Pickering and Ferreira 2008). Syntactic priming
 9 refers to the phenomenon of using a particular syntactic structure given
 10 prior exposure to the same structure. This behavior has been observed
 11 when speakers hear, speak, read or write sentences (Bock 1986; Pickering
 12 2006; Pickering and Garrod 2006). For L2A, Gries and Wulff (2005) showed
 13 (i) that advanced L2 learners of English showed syntactic priming for
 14 ditransitive (e.g.,

15 *(The racing driver showed the helpful mechanic)* and prepositional dative
 16 (e.g., *The racing driver showed the torn overall . . .*) argument structure
 17 constructions in a sentence completion task, (ii) that their semantic knowledge
 18 of argument structure constructions affected their grouping of sentences in
 19 a sorting task, and (iii) that their priming effects closely resembled those of
 20 native speakers of English in that they were very highly correlated with
 21 native speakers' verbal subcategorization preferences whilst completely
 22 uncorrelated with the subcategorization preferences of the German transla-
 23 tion equivalents of these verbs. There is now a growing body of research
 24 demonstrating such L2 syntactic priming effects (McDonough 2006;
 25 McDonough and Mackey 2006; McDonough and Trofimovich 2008).

27 4.2. Form (salience and perception)

28
 29 The general perceived strength of stimuli is commonly referred to as their
 30 salience. Low salience cues tend to be less readily learned. Ellis (2006a,
 31 2006b) summarized the associative learning research demonstrating that
 32 selective attention, salience, expectation, and surprise are key elements in
 33 the analysis of all learning, animal and human alike. As the Rescorla-
 34 Wagner (1972) model encapsulates, the amount of learning induced from
 35 an experience of a cue-outcome association depends crucially upon the
 36 salience of the cue and the importance of the outcome.

37 Many grammatical meaning-form relationships, particularly those that
 38 are notoriously difficult for second language learners like grammatical
 39 particles and inflections such as the third person singular *-s* of English,
 40 are of low salience in the language stream. For example, some forms are

1 more salient: ‘*today*’ is a stronger psychophysical form in the input than is
2 the morpheme ‘-s’ marking 3rd person singular present tense, thus while
3 both provide cues to present time, *today* is much more likely to be per-
4 ceived, and -s can thus become overshadowed and blocked, making it
5 difficult for second language learners of English to acquire (Ellis 2006b,
6 2008a; Goldschneider and DeKeyser 2001).

7 8 4.3. Prototypicality of meaning and redundancy

9 4.3.1. *Prototypicality of meaning*

10
11 Categories have graded structure, with some members being better exem-
12 plars than others. In the prototype theory of concepts (Rosch and Mervis
13 1975; Rosch, et al. 1976), the prototype as an idealized central description
14 is the best example of the category, appropriately summarizing the most
15 representative attributes of a category. As the typical instance of a cate-
16 gory, it serves as the benchmark against which surrounding, less repre-
17 sentative instances are classified. The greater the token frequency of an
18 exemplar, the more it contributes to defining the category, and the greater
19 the likelihood it will be considered the prototype. The best way to teach a
20 concept is to show an example of it. So the best way to introduce a category
21 is to show a prototypical example. Ellis and Ferreira-Junior (2009a)
22 show that the verbs that second language learners first used in particular
23 VACs are prototypical and generic in function (*go* for VL, *put* for VOL,
24 and *give* for VOO). The same has been shown for child language acquisi-
25 tion, where a small group of semantically general verbs, often referred
26 to as *light verbs* (e.g., *go, do, make, come*) are learned early (Clark 1978;
27 Ninio 1999; Pinker 1989). Ninio argues that, because most of their seman-
28 tics consist of some schematic notion of transitivity with the addition of
29 a minimum specific element, they are semantically suitable, salient, and
30 frequent; hence, learners start transitive word combinations with these
31 generic verbs. Thereafter, as Clark describes, “many uses of these verbs
32 are replaced, as children get older, by more specific terms. . . . General pur-
33 pose verbs, of course, continue to be used but become proportionately less
34 frequent as children acquire more words for specific categories of actions”
35 (p. 53). Notwithstanding the fact that prototypicality can help L2 learners
36 during the beginning stages of acquisition of complex, graded and fuzzy
37 concepts (such as tense-aspect meanings), the acquisition of the less proto-
38 typical exemplars of a complex concept remains an area of fertile research.
39 This is particularly relevant in the case of target items that can only be
40 concurrently defined at various levels of representation of language (e.g.,

1 lexical, morphosyntactic, discursive, and pragmatic at the same time). In
 2 the sections below, we address this issue through the specific analysis of
 3 specific tense-aspect meanings that could potentially be outside of the realm
 4 of the basic concept.

5 6 4.3.2. *Redundancy*

7 The Rescorla-Wagner model (1972) also summarizes how redundant cues
 8 tend not to be acquired. Not only are many grammatical meaning-form
 9 relationships low in salience, but they can also be redundant in the under-
 10 standing of the meaning of an utterance. For example, it is often unneces-
 11 sary to interpret inflections marking grammatical meanings such as tense
 12 because they are usually accompanied by adverbs that indicate the tem-
 13 poral reference. Second language learners' reliance upon adverbial over
 14 inflectional cues to tense has been extensively documented in longitudinal
 15 studies of naturalistic acquisition (Bardovi-Harlig 2000; Dietrich, Klein,
 16 and Noyau 1995), training experiments (Ellis 2007; Ellis and Sagarra 2010),
 17 and studies of L2 language processing (Van Patten 2006).
 18

19 4.4. Contingency of form-function mapping

20
 21 Psychological research into associative learning has long recognized that
 22 while frequency of form is important, so too is contingency of mapping
 23 (Shanks 1995). Consider how, in the learning of the category of birds,
 24 while eyes and wings are equally frequently experienced features in the
 25 exemplars, it is wings that are distinctive in differentiating birds from
 26 other animals. Wings are important features to learning the category of
 27 birds, because they are reliably associated with class membership, eyes
 28 are neither. Raw frequency of occurrence is less important than the con-
 29 tingency between cue and interpretation. Distinctiveness or reliability of
 30 form-function mapping is a driving force of all associative learning, to
 31 the degree that the field of its study has been known as 'contingency learn-
 32 ing' since Rescorla (1968) showed that for classical conditioning, if one
 33 removed the contingency between the conditioned stimulus (CS) and the
 34 unconditioned (US), preserving the temporal pairing between CS and US
 35 but adding additional trials where the US appeared on its own, then animals
 36 did not develop a conditioned response to the CS. This result was a mile-
 37 stone in the development of learning theory because it implied that it was
 38 contingency, not temporal pairing, that generated conditioned responding.
 39 Contingency, and its associated aspects of predictive value, information
 40 gain, and statistical association, have been at the core of learning theory

1 ever since. It is central in psycholinguistic theories of language acquisition
2 too (Ellis 2006a, 2006b, 2008b; Gries and Wulff 2005; MacWhinney 1987b),
3 with the most developed account for second language acquisition being
4 that of the Competition model (MacWhinney 1987a, 1997, 2001). Ellis and
5 Ferreira-Junior (2009b) use delta P and collostructional analysis measures
6 (Gries and Stefanowitsch 2004; Stefanowitsch and Gries 2003) to investigate
7 effects of form-function contingency upon L2 VAC acquisition. Boyd and
8 Goldberg (Boyd and Goldberg 2009) use conditional probabilities to investi-
9 gate contingency effects in VAC acquisition. This is still an active area of
10 inquiry, and more research is required before we know which statistical
11 measures of form-function contingency are more predictive of acquisition
12 and processing.

13 14 4.5. The many aspects of frequency and their research consequences

15 Interference with any of these aspects reduces learnability: constructions
16 of low salience of form are hard to learn, constructions where there is low
17 reliability or contingency between form and meaning are hard to learn, con-
18 structions with subtle construals yet to be discerned are hard to learn, and
19 constructions of low frequency of occurrence tend to be acquired later. Such
20 findings suggest that the learning of linguistic constructions, like other con-
21 cepts, can be understood according to psychological principles of category
22 learning.

23 24 25 **5. Applications of frequency-based grammar to the study of L2** 26 **tense-aspect**

27 28 5.1. The Aspect Hypothesis

29 The study of tense-aspect has been a paradigm case in cognitive and func-
30 tional SLA theory because of the pioneering work of such scholars as
31 Roger Andersen, Yas Shirai, and Kathleen Bardovi-Harlig. Andersen was
32 the first L2 researcher to pose the idea – following similar studies in L1
33 acquisition – that L2 language learners are initially influenced by the inher-
34 ent semantic aspect of verbs in the acquisition of TA morphology affixed to
35 these verbs. Andersen argued that L2 learners start out by using the perfec-
36 tive past morpheme with telic verbs (achievements and accomplishments,
37 with a clear endpoint) before they extend its use to atelic verbs (activity
38 and stative with no inherent end point). After the perfective form is estab-
39 lished, learners start to mark states with the imperfective form and later
40

1 spread its use to dynamic verbs towards telic events. Conversely, progres-
 2 sive marking is preferentially used with dynamic verbs (activities, accom-
 3 plements and achievements) and it is first used with activity verbs (atelic)
 4 before it spreads to telic verbs. That is, progressivity is preferentially
 5 marked first with verbs that focus our attention on the process rather
 6 than the end-state of the process.

7 This influence of the inherent lexical semantics of verbal predicates on
 8 the acquisition of morphosyntactic marking led to an important hypo-
 9 thesis of TA acquisition in terms of cognitive psychological processes of
 10 prototype formation (Andersen and Shirai 1994, 1996; Shirai and Andersen
 11 1995). The *Aspect Hypothesis* (Andersen and Shirai 1994, see chapters 5
 12 and 8, this volume) proposes that the abstract grammatical schema for
 13 perfective past generalizes from more concrete beginnings close to the
 14 prototypic centre in the clear exemplifications of telic achievements and
 15 accomplishments. Likewise abstract progressive morphology emerges from
 16 concrete exemplars in the semantics of activities and states.

17 Andersen's hypothesis was based on the analysis of L2 Spanish data
 18 collected among adolescent learners in the natural (non-classroom based)
 19 social environment of acquisition. Even though Andersen did not obtain
 20 data to confirm all stages of acquisition of past tense morphology, he pro-
 21 posed a sequence of acquisition of eight phases. The strong association of
 22 the lexical semantics of verbal predicates was predicted to occur during
 23 the initial four stages. Andersen argued further that the final four stages
 24 are necessary in the model to account for the fact that learners are even-
 25 tually able to use both markers of past tense aspect with any lexical aspect-
 26 tual class, thus breaking the categorical pairing of one lexical aspectual
 27 class and one grammatical marker (e.g., states and Imperfect, achievements
 28 and Preterite). The last four stages point to the fact that the appropriate
 29 use of tense-aspect verbal endings brings about a level of discursive and
 30 semantic complexity that accounts for the difficulty L2 learners have in
 31 the process of acquisition. That is, a comprehensive account of how L2
 32 learners approach the level of representation of tense-aspect meanings
 33 among native speakers must eventually go beyond the level of lexical
 34 aspect (cf., input frequency and prototypicality of meaning), incorporating
 35 in the process the variety of cues that underpin the more complex repre-
 36 sentations underpinning nativelike levels of grammatical aspect.

37 Aspect-before-tense phenomena also prevail in second language acqui-
 38 sition (Andersen and Shirai 1994; Bardovi-Harlig 2000; Indefrey and
 39 Gullberg 2008; Li and Shirai 2000). Adult language learners too are sensi-
 40 tive to the lexical aspects of verbs, initially using combinations of *lexical*

1 *and grammatical aspect* that are maximally compatible, with telicity being
2 a particularly salient feature. There is a substantial amount of empirical
3 evidence offered in favor of the Aspect Hypothesis in SLA (e.g., Bardovi-
4 Harlig 1998, 2000; Bardovi-Harlig and Reynolds 1995; Bergström 1995;
5 Camps 2002, 2005; Collins 2002, 2004; Comajoan 2001, 2006; Hasbún
6 1995; Salaberry 1998; Shirai and Kurono 1998). who examined cloze
7 passages, and Bardovi-Harlig (1998, 2000), who investigated oral produc-
8 tion data obtained from narratives. Bardovi-Harlig (2000), in particular,
9 presents an extensive functional analysis of the acquisition of L2 TA mor-
10 phology in terms of cognitive principles and semantic prototypes. Thus L2
11 learners from a wide variety of L1/L2 combinations first use perfective
12 past marking on achievements and accomplishments, and only later ex-
13 tend this to activities and state. Similarly, in L2s that have progressive
14 aspect, progressive marking begins with activities and only extend slowly
15 thereafter to accomplishments and achievements.

16 Despite this support for the LAH, the original argument about the
17 effect of the inherent lexical semantics of the verbal predicate on the
18 morphosyntactic marking of tense-aspect was underspecified with regards
19 the timing of this effect: Does lexical aspect guide the process from the
20 beginning stages of acquisition and later subside as learners are able to
21 use both grammatical markers with every verb type as the LAH suggests?
22 Or, does the effect of lexical aspect increase with experience in the L2? Pre-
23 vious researchers have not been clear on this point. Robison (1990) argued
24 that the effect of lexical aspect occurs “*when L2 verb morphemes enter the*
25 *interlanguage* of an adult language learner,” but also that “. . . verbal mor-
26 phology correlates with lexical aspect at least *during some stage during the*
27 *development of an interlanguage*” (Robison 1990: 329–330, italics added).

28 Wiberg (1996) and Salaberry (1999) argued for an expansion of the claim
29 made by Bergström showing that the perfective form was used with all
30 lexical aspectual classes (not just dynamic verbs) during the very beginning
31 stages of acquisition. Again, these results do not reject the effect of a past
32 tense prototypical marker; quite the opposite. Nevertheless, the effect of
33 straight lexical-grammatical pairings is weaker than expected by the LAH.
34 Also, more recent studies have shown that the effect of lexical aspect tends
35 to increase with exposure to the L2. This is contrary to the expectation that
36 lexical semantics has maximum effect at first until non-prototypical pairings
37 are eventually incorporated to the L2 system. In fact, even early proponents
38 of the LAH have acknowledged the replication of findings that demonstrate
39 the increasing rather than decreasing effect of prototypical tense-aspect
40 markings. Thus, Shirai (2004, p. 103) states that at least in some contexts

1 “in cross-sectional studies involving production data, the prototypical
2 association becomes *stronger* as the learner’s proficiency increases”. These
3 results are in agreement with the importance of type and token frequency;
4 that is, the productivity of a pattern is a function of type frequency; the
5 more forms that exemplify a pattern, the more productive that pattern
6 becomes (see section 4.1.2.)

7 Perhaps the strongest evidence in favor of the increasing association
8 of grammatical marking of tense-aspect and lexical aspectual classes as
9 learners acquire more experience in the L2 is provided by Salaberry (2011)
10 with a study that compared the claims of the LAH and the Discourse
11 Hypothesis (DH). The findings are important because this study used a
12 large number of participants, thus providing a more robust data set than
13 is normally used in tense-aspect studies. The results showed both that L2
14 learners increased their use of past tense markers in association with the
15 inherent lexical meanings of verb phrase, and, more importantly, that
16 native speakers had the highest association of prototypical pairings in
17 their use. Thus, L2 learners seem to be converging, in asymptotic terms,
18 towards the native speaker norm. That is, the main factor behind this
19 change seems to be the distributional bias present in native speakers’
20 choices, which is clearly related to exposure and frequency of data. Obvi-
21 ously, as L2 learners gain more experience in the language and have more
22 exposure to language samples, they are able to converge more and more
23 towards the native speaker standard.

24 A frequency-based approach argues that frequency/prototypicality effects
25 are there from the very get-go, because they determine the sample of
26 language which a learner is likely to experience. Zipf’s law entails that
27 particular exemplars are very high frequency – these are the ones a learner
28 is going to experience first, and these are the ones that therefore seed the
29 system. If, as is typical in language, the high frequency forms in a con-
30 struction are also prototypical in meaning, then these are the ones a
31 learner will sample (section 4.1.3 and 4.3.1). These results are in line with
32 other studies that have investigated the influence of input frequency on TA
33 acquisition in L1 (Shirai, Slobin, and Weist 1998) and L2 (Andersen 1990).
34 More specifically, Andersen (1990, The Distributional Bias Hypothesis)
35 observed that the input available to learners exhibits distributional patterns
36 similar to those observed in learners’ productions: “Native speakers in inter-
37 action with other native speakers tend to use each verb morpheme with a
38 specific class of verbs, also following the aspect hypothesis” (Andersen and
39 Shirai 1994, p. 137). Such input frequency biases should aid the statistical
40 learning of TA constructions.

1 5.2. The effect of frequency-based constructionist biases on the
2 acquisition of L2 aspect

3 Wulff, Ellis, Römer, Bardovi-Harlig, and LeBlanc (2009) analyzed the effect
4 of the constructionist principles outlined in section 4 (input frequency, pro-
5 totypicality of meaning, and contingency of form-function mapping) for
6 learning tense-aspect meanings using corpus linguistic analyses of repre-
7 sentative samples of language input and of learner language. The study
8 was designed to test frequency-based constructionist hypotheses for the
9 acquisition of English L2 TA constructions as cognitive categories. The
10 particular hypotheses used in this study, and the findings relating to them,
11 were as follows:
12

13 H1: Natural language data has a distributional bias whereby some verb
14 types occupy each TA construction much more frequently than others, the
15 distribution of the types constituting each construction being Zipfian.
16

17 In order to examine frequency biases in the input, we retrieved verb form
18 frequencies for all verbs from two native speaker corpora taken to repre-
19 sent the type of language input adult second language learners are exposed
20 to: the 10 million word spoken section of the British National Corpus
21 (BNC_{spoken}) and the 1.7 million word Michigan Corpus of Academic Spoken
22 English (MICASE, Simpson, Briggs, Ovens, and Swales 2002). All verb
23 form frequencies were retrieved from CLAWS-tagged versions of BNC_{spoken}
24 and MICASE, respectively. When we analyzed the verbs tagged as simple
25 past or progressive, their frequency distributions across the different TA
26 categories was Zipfian: the frequency with which verbs occur with a
27 certain tense-aspect category is inversely proportional to their rank in the
28 frequency table, with the most frequent verb types accounting for the lion's
29 share of all occurrences of any given TA morpheme. Unlike for the VAC
30 data in Ellis and Ferreira-Junior (2009a,b) however, the top ten most fre-
31 quent verbs within each category were not typically distinctive of that cate-
32 gory, because the very highest frequency verbs in the language (like *do*, *be*,
33 *have*, and *get*) naturally occupy the top ranks across all TA categories.
34

35 H2: More-frequent verbs in each TA construction are distinctively associated
36 with that construction in the input.
37

38 In order to determine which verbs are particularly associated with the
39 progressive and the perfective more systematically we computed form-
40 meaning contingencies (see section 4.4), in this case using a multiple dis-

1 tinctive collexeme analysis (MDCA) for the BNCspoken and MICASE
 2 data sets (Gries and Stefanowitsch 2004). The association-based distribu-
 3 tions showed that a small number of verbs are extremely highly associated
 4 with a particular TA category, and association strength drops exponen-
 5 tially thereafter. Ranking the top ten most distinctively associated verbs
 6 for each TA reflected intuitions about verbs that typically occur with the
 7 different TA categories: the past and perfect TA columns were occupied
 8 by highly telic verbs such as *die*, *crash*, *explode*, *lose*, or *finish*; the progres-
 9 sive preferred continuous action verbs like *sit*, *play*, *walk*, and *run*. These
 10 distinctively-associated verbs, while not *the* highest frequency in the lan-
 11 guage (H 1), are frequently experienced in that construction.

12

13 H3: The verbs most distinctively associated with each TA construction in
 14 the input are prototypical of the meaning of that construction.

15

16 In order to investigate the prototypicality of the verbs, we obtained native
 17 speaker telicity ratings for a range of verbs selected from these analyses
 18 from 20 native speakers of American English. A questionnaire presented
 19 the verbs in isolation, without arguments, and in their base forms. Sub-
 20 jects were instructed to evaluate each verb with regard to how strongly it
 21 implies an endpoint expressed in values from 1 (if there is no endpoint im-
 22 plied) to 7 (if an endpoint is strongly implied). Three examples were given:
 23 *smash* as a highly telic verb, *continue* as an example of a verb that is
 24 located at the opposite, atelic end of the continuum, and *swim* as an exam-
 25 ple of a verb that falls somewhere in between.

25

26 The resulting Telicity Rating data demonstrated that those verbs distinc-
 27 tively associated with past tense in the input received significantly higher
 28 telicity ratings than verbs associated with the progressive (MICASE data:
 29 $t = -2.107$; $df = 18$; $p = .049$; BNC spoken data: $t = -4.356$; $df = 18$;
 30 $p < .001$).

30

31 H4: The first-learned verbs in each TA construction are prototypical of that
 32 construction's functional interpretation in terms of their telicity / lexical
 33 aspect.

34

35 Wulff et al. analyzed oral production data collected by Bardovi-Harlig
 36 (2000) who had 37 English beginning L2 learners from 5 different L1
 37 backgrounds watch an excerpt of *Modern Times* and then tell the story in
 38 their own words. The resulting narratives produced an average of 51 verb
 39 tokens. All verb forms were coded for TA morphology (that is, simple
 40

40

1 past, past progressive, pluperfect, present, present progressive, progressive
2 without auxiliaries, present perfect, or “uninterpretable”). For the purpose
3 of their study, Wulff et al. selected from this data set verbs that occurred
4 more than 10 times overall and which were distinctly associated with present,
5 simple past, or progressive as determined by a chi-square test. The 5 most
6 frequently occurring past tense verbs in the learner production data (*say,*
7 *see, steal, take, tell*) and the 5 most frequently occurring progressive verbs
8 (*begin, eat, run, think, walk*) differed significantly in their mean telicity
9 ratings ($t = -2.838$; $df = 9$; $p < .01$), with the past tense verbs being
10 judged more telic and the progressive verbs more atelic.

11 In sum, the results of Wulff, et al suggested that the verbs first learned
12 by adults in the progressive are also frequent in the progressive in the
13 input, distinctively associated with the progressive in the input, and highly
14 atelic (i.e., significantly less telic than verbs frequent and associated with
15 past tense in the input). Likewise, the verbs first learned in past tense are
16 frequent in past tense in the input, highly distinctive for past tense in the
17 input, and highly telic. These findings provide some support for the hypo-
18 thesis that the learning of tense and aspect, like that of other linguistic
19 constructions, can be understood according to psychological principles of
20 category learning. In terms of the frequency-based associative, cognitive,
21 and functional properties of TA construction learning: (1) The first-learned
22 verbs in each TA construction are those which appear frequently in that
23 construction in the input. (2) The first-learned pathbreaking verbs for each
24 TA construction are distinctive of that construction – the contingency of
25 forms and function is reliable. (3) The first-learned verbs in each TA con-
26 struction are those which are prototypical of the construction’s functional
27 interpretation in terms of telicity / lexical aspect. TA construction learning
28 is sensitive to input frequency, reliabilities of form-function mapping, and
29 prototypicality of lexical aspect in English.

30 Although the analyses of spoken language carried out by Wulff et al.
31 (2009) involved quite extensive corpus analysis, it is a stretch to claim
32 that the language sampled therein was properly representative of that to
33 which the ESL learners had been exposed. Additionally, the learner data
34 was small, far from dense, and it covered only a very short period of initial
35 acquisition. Finally, the study focused on L2 English only as the target
36 language. We turn next to the analysis of more advanced levels of L2
37 Spanish, a language with a complex representation of tense-aspect markers
38 to investigate the effect of input frequency, prototypicality and the map-
39 pings of form and meaning.

40

1 5.3. The effect of frequency-based constructionist biases on acquisition
2 of Spanish L2 aspect

3
4 The effects described for English above are, by and large, also relevant for
5 the analysis of L2 Spanish data. However, the analysis of more advanced
6 Spanish data to be discussed below (from Salaberry 2011) brings about a
7 challenge for any constructionist approach operating only at a lexical level:
8 the distinction between the aspectual concepts of iterativity and habituality
9 as shown in sentences (1a) and (1b).

10 (1a) *Cuando era niño, Lucas jugaba al fútbol.* [habitual]

11 When [he] was a child, Lucas played/used to/would (IMP) play
12 soccer.
13

14 (1b) *Por años, Lucas jugó al fútbol.* [iterative]

15 For years, Lucas played (PRET) soccer.
16

17 The main challenge for learners is that the use of the perfective form to
18 make reference to extended events in the past is predicated on the facts
19 that iterativity (i) is not very frequent in the input, and (ii) it does not
20 represent a prototypical marker of iteration (i.e., the imperfective form is
21 the prototypical marker, as documented in one of the most traditional and
22 used rules taught to Spanish learners). On the other hand, learners can
23 benefit from the fact that the grammatical marking of iterated events pro-
24 vides a direct mapping of form and function (i.e., iterativity is always
25 marked with the Preterite, whereas habituality is marked with the Imper-
26 fect). That is, L2 learners need to go beyond the realm of prototypical
27 pairings of lexical aspect and grammatical markings to learn some specific
28 aspectual meanings that are clearly marked in Spanish through the choice
29 of perfective or imperfective marker. To do so, however, L2 learners must
30 take into account broader pieces of discourse than it would be required
31 to make decisions about straightforward lexical-grammatical pairings (as
32 discussed in the analysis of English data above).

33 If the challenge is to process ever-longer pieces of discourse to make
34 judgments on the aspectual representation of eventualities, one of the first,
35 most immediate elements that has to be considered to mark aspectual con-
36 trasts is the role of adverbial phrases. For instance, Menéndez-Benito (2001)
37 shows how adverbial phrases can change the prototypical meaning of the
38 perfective marker in Spanish (i.e., episodic meanings) to represent the itera-
39 tion of eventualities (i.e., an aspectual concept reserved for the imperfective
40 marker). The difficulty brought about by the broader discourse prompted
by the computation of adverbials (on top of the analysis of external and

1 internal arguments) for the marking of iterated eventualities is corroborated
 2 by the few studies that have looked at this area of studies. Previous studies
 3 (e.g., Pérez-Leroux et al 2007; Salaberry and Martins 2011; Slabakova and
 4 Montrul 2007) show, categorically, that L2 learners – even highly advanced
 5 learners – fail to recognize the aspectual meaning of iterativity (conveyed
 6 through the use of the Preterite) as distinct from the meaning of habituality
 7 (conveyed through the use of the Imperfect).

8 A constructionist explanation that the use of the Spanish Preterite to
 9 express iterativity is difficult for L2 learners to acquire would first point
 10 to the facts that iterative meanings of the Preterite are neither frequent in
 11 the input nor prototypical of the perfective form. A richer analysis of the
 12 problem is, nevertheless, possible given that the focus of Construction
 13 Grammar is as much about constructions above the word level (e.g.,
 14 grounding information) as about lexical or morphological units (e.g.,
 15 lexico-semantic information), thus we assign a prominent role to the cons-
 16 piracy of cues in processing (see section 4.1.3 and the acquisition of Verb-
 17 argument constructions). In this respect, native speakers systematically use
 18 cues provided by adverbial phrases to select the use of Preterite or Imper-
 19 fect to mark either iterativity or habituality. The debate is whether we can
 20 correlate the use of Preterite and Imperfect with generic and durational
 21 adverbial phrases as proposed by Menéndez-Benito, or specific adverbial
 22 phrase constructions as proposed by Salaberry and Martins, or some other
 23 alternative option. Further research needs to investigate how the lexical-
 24 level cues act in combination with adverbial phrases, and how learners
 25 may be more sensitive to some cues (lexical or discourse-building) in this
 26 conspiracy at different stages of language acquisition (Salaberry 2008, 2011,
 27 Rosi 2010).

29 **6. Conclusions and Future Research Directions**

31 The first part of this chapter gathered a range of frequency-related factors
 32 that influence the acquisition of any linguistic constructions:

- 33 1. the frequency, the frequency distribution, and the salience of the form
 34 types,
- 35 2. the frequency, the frequency distribution, the prototypicality and gen-
 36 erality of the semantic types, their importance in interpreting the over-
 37 all construction,
- 38 3. the reliabilities of the mapping between 1 and 2, and
- 39 4. the degree to which the different elements in the construction are
 40 mutually informative and form predictable chunks.

1 The second part applied these factors to TA acquisition. Before learners
2 can recognize or use TA constructions productively, they have to analyze
3 them, to identify their linguistic form and then map it to meaning. Each
4 construction has its own form, meaning, and corresponding mapping
5 pattern. Research shows that the input that learners get is biased so
6 that they experience past tense forms predominantly with verbs which are
7 distinctively associated with more telic construals, and progressive forms
8 predominantly with verbs which are distinctively associated with more
9 atelic construals. Language lines up with the world, or, better, with the
10 way we construe it. Our understanding of the world lines up with our
11 language. Our actions in the world, our categorization of the world, and
12 our talk about these actions and classifications occur in broadly parallel
13 relative frequencies. Such parallels make constructions learnable.

14 There are many factors involved, and research to date has tended to
15 look at each hypothesis by hypothesis, variable by variable, one at a time.
16 But they interact. And what we really want is a model of usage and its
17 effects upon acquisition. We can measure these factors individually. But
18 such counts are vague indicators of how the demands of human interaction
19 affect the content and ongoing co-adaptation of discourse, how this
20 is perceived and interpreted, how usage episodes are assimilated into the
21 learner's system, and how the system reacts accordingly (see Bayley;
22 Giacalone-Ramat and Rastelli; Salaberry, Comajoan and González, this
23 volume).

24 Usage is rich in latent linguistic structure, thus frequencies of usage
25 count in the emergence of linguistic constructions. Corpus Linguistics pro-
26 vides the proper empirical means whereby language input can be counted.
27 But this is not enough; we also require an understanding of the psychology
28 of cognition, learning, attention, and development. Sensation is not per-
29 ception, and the psychophysical relations mapping physical onto psycho-
30 logical scales are complex. The world of conscious experience is not the
31 world itself but a construal crucially determined by attentional limitations,
32 prior knowledge, embodiment and context. Not every experience is equal –
33 effects of practice are greatest at early stages but eventually reach asymp-
34 tote. The associative learning of constructions as form-meaning pairs is
35 affected by: factors relating to the form such as frequency and salience;
36 factors relating to the interpretation such as significance in the comprehen-
37 sion of the overall utterance, prototypicality, generality, and redundancy;
38 factors relating to the contingency of form and function; and factors relat-
39 ing to learner attention, such as automaticity, transfer, and blocking.

40

1 Univariate counts are vague indicators of how the demands of human
2 interaction affect the content and ongoing co-adaptation of discourse, how
3 this is perceived and interpreted, how usage episodes are assimilated into
4 the learner's system, and how the linguistic system reacts accordingly. We
5 need models of learning, language, meaning, usage, interaction, develop-
6 ment, and emergence that take all these factors into account dynamically.
7 Some progress on language and meaning comes from cognitive linguistics
8 (Robinson and Ellis 2008), though this is often non-quantitative research.
9 Some progress on language usage comes from corpus linguistics (Gries
10 and Divjak, in press), though all too often this is cognition-light. Some
11 progress on interaction comes from work on the interaction hypothesis
12 (Mackey and Gass 2006), though too often this is language-light. Some
13 progress on emergence is being made in emergentism and complexity
14 theory (Ellis 1998; Ellis and Larsen Freeman 2006a; Ellis and Larsen-
15 Freeman 2009b; Elman, et al. 1996; Larsen-Freeman 1997; Larsen-Freeman
16 and Cameron 2008; MacWhinney 1999) which analyzes how complex
17 patterns emerge from the interactions of many agents, how each emergent
18 level cannot come into being except by involving the levels that lie below
19 it, and how at each higher level there are new and emergent kinds of related-
20 ness not found below. These approaches align well with dynamic system
21 theory, which considers how cognitive, social and environmental factors are
22 in continuous interactions, where flux and individual variation abound, and
23 where cause-effect relationships are non-linear, multivariate and interactive
24 in time (de Bot, Lowie, and Verspoor 2007; Ellis 2008a; Ellis and Larsen
25 Freeman 2006a, 2006b; Port and Van Gelder 1995; Spencer, Thomas, and
26 McClelland 2009; Spivey 2006; van Geert 1991). But research in emergence
27 and DST are often light in the details of the component parts.

28 Recent developments in corpus linguistics, NLP, and computer simula-
29 tion suggest that a tractable approach is to combine the qualitative linguis-
30 tic analyses of construction grammar and corpus linguistics as applied to
31 longitudinal corpora of learner language and large samples of representa-
32 tive input. These can then be brought together in quantitative computer
33 simulations of construction acquisition (Christiansen and Chater 2001),
34 either connectionist, agent-based, or exemplar-driven, illustrated, for exam-
35 ple, in the initial explorations of MacWhinney and Leinbach (1991), Ellis
36 and Schmidt (1998), Li and Shirai (2000), and Ellis with Larsen-Freeman
37 (2009a). Even then, much will remain to be done in building into such
38 models more sophisticated representation of salience of form and its per-
39 ception, meaning and embodiment, and learner attention.

40

1 The analyses of the psychological representation of tense-aspect mean-
 2 ings, the linguistic means by which these representations are explicitly
 3 conveyed in usage, and their developmental sequences in interlanguage
 4 together provide a rich testing-ground for investigation of cognitive and
 5 linguistic universals of tense-aspect and of the role of frequency-tuning in
 6 the usage-based abstraction of constructions as categories.

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