

# The Chromatic Number of Flip Graphs

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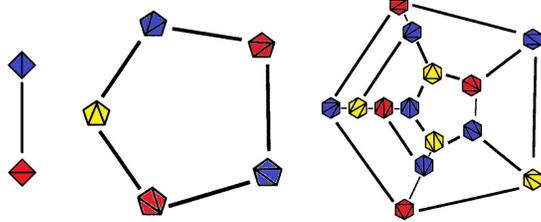
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## Introduction

### Goal

To investigate the chromatic number of the flip graph associated with a convex  $n$ -sided polygon. We want to understand how the chromatic number changes as the number of sides of the  $n$ -gon increases. As the number of sides goes to infinity does the chromatic number go to infinity?

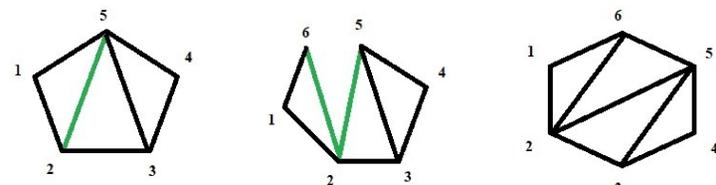
**Definition.** A **flip graph**  $F_n$  is associated to a finite-sided convex  $n$ -gon  $P$  living in the plane. Given the polygon  $P$ , the vertices of the flip graph associated to  $P$  correspond to a triangulation of  $P$  (i.e. a choice of disjoint diagonals that decompose  $P$  into triangles). Two vertices are connected by an edge if the associated triangulations differ by a **flip** (i.e. if one triangulation can be obtained from the other by changing a single diagonal).



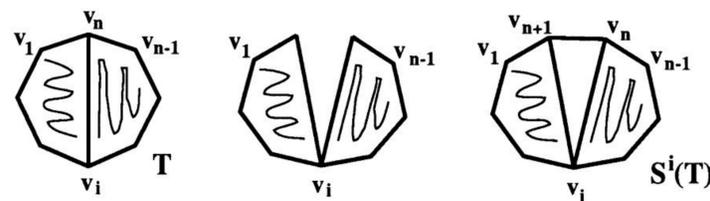
Colored  $F_4, F_5, F_6$  [1]

## Constructing Flip Graphs

In the first figure we construct a triangulation of the hexagon from a triangulation of the pentagon. The general procedure for the  $n$ -gon is shown in the second figure.



Constructing a hexagon child from a pentagon parent



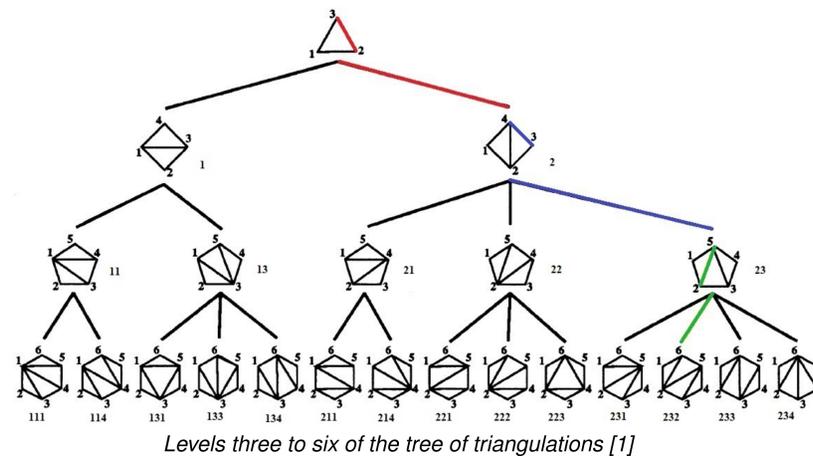
Construction of the child  $S^i(T)$  of  $T$  [1]

### Theorem 1: (Constructing edges of a Flip Graph[1])

Let  $T$  and  $T'$  be triangulations of an  $n$ -gon. Let  $S^i(T)$  define the *child* operation on a triangulation  $T$  at vertex  $i$ . Then,  $S^i(T) \sim S^j(T')$   $\iff$

1.  $T = T'$  and  $\delta_{k,n} \notin T \forall i < k < j$  or
2.  $T \sim T'$  and  $i = j$  and  $\delta_{i,n} \in T \cap T'$

Next, we illustrate a choice of labeling for the vertices of the flip graph that records the child operations needed to obtain them. The labeling is given by diagonal  $\delta_{i,n}$  that we are opening. Every time we open a diagonal  $\delta_{i,n}$  to get a child, we add  $i$  to the labeling. E.g. for the second line in the graph, we get labeling 1 or 2 depending on whether we open  $\delta_{1,3}$  or  $\delta_{2,3}$ .

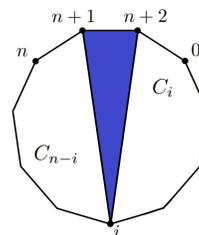


## Properties of Flip Graphs

Let  $F_n$  be a flip graph generated from an  $n$ -length cycle. Then,

- The number of vertices in  $F_{n+2}$  is given by the  $n$ -th Catalan Number  $C_n$ . The Catalan numbers for  $n \leq 9$  are: 1, 2, 5, 14, 42, 132, 429, 1430, 4862.

Let's see a proof of this: Assume that  $F_{n+1}$  has  $C_{n-1}$  vertices; the base case of  $n = 2$  is true as  $F_3$  has 1 =  $C_1$  vertex. Let  $P$  be an  $(n+2)$ -gon and fix a cyclic labeling of the vertices. If  $1 \leq i \leq n$ , let  $\delta_i$  be the triangle with vertices  $n+2, n+1$ , and  $i$ . Any triangulation containing  $\delta_i$  gives a triangulation of the two polygons in the complement of  $\delta_i$ . By the inductive hypothesis, there are  $C_{i-1} * C_{n-i+1}$  such triangulations. Now every triangulation of  $P$  contains a unique triangle of the form  $\delta_i$ . Hence, there are  $\sum_{i=1}^n C_{i-1} * C_{n-i}$  triangulations of  $P$ , which is exactly  $C_n$  by the recursive formula for the  $(n)$ <sup>th</sup>-Catalan number.



A unique  $\delta_i$  partitioning  $P$  into two polygons

- Every vertex in  $F_n$  has degree  $n - 3$ . This follows from the fact that a triangulation of an  $n$ -gon consists of  $n - 3$  triangles.
- Planarity of  $F_n$ 
  - $F_6$  is planar because it can be embedded into the plane as shown in the first figure.
  - $F_7$  is non-planar by using Euler's Formula:  $|V| - |E| + |F| = 2$ .
  - $F_n$  is non-planar for  $n \geq 7$ :  $F_7$  fails Euler's Formula, so is it non-planar. By containment relations, every  $F_n$  contains a copy of  $F_7$  for  $n > 7$ ; hence, it is not planar.
- $F_n$  is connected. By induction, for any arbitrary triangulation we can always perform a series of flips to reach the fan at vertex 1.
- $F_n$  is triangle free. A triangle in the flip graph would imply the existence of a triangulation with crossing diagonals.

## Current Work

Owing to the rapid growth of the Catalan numbers, higher order flip graphs are impractical to compute by hand. Therefore, we have recently been designing an algorithm to generate all the labels (i.e. vertices) of the flip graph. This is achieved through two algorithms: algorithm 1 takes in the adjacency matrix of a triangulation and generates the labels of its children, while algorithm 2 takes in the label of a triangulation and generates its adjacency matrix. Recursively calling these algorithms generates the vertices of  $F_n$ .

### The Algorithm

Algorithm 1 starts with the adjacency matrix of a triangle. We use this as input to our algorithm to generate the labels of the triangle's children, which are labels 1 and 2 in the tree diagram. These labels are converted into their adjacency matrices by algorithm 2, which are then used to generate their children's labels. This procedure propagates through the tree until the desired level is reached.

We define a matrix  $A_i$  to correspond to the triangulation with label  $i$ .

For example, in the 4-by-4 matrix  $A_2$ , we notice that 4 is connected to 1, 2 and 3. If we put  $A_2$  in algorithm 1, since we have edges  $\delta_{1,4}$ ,  $\delta_{2,4}$ , and  $\delta_{3,4}$ , we can open each edge to get the children's labeling 21, 22 and 23.

$$A_2 = \begin{matrix} & 1 & 2 & 3 & 4 \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{matrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{matrix} \end{matrix}$$

$$A_{23} = \begin{matrix} & 1 & 2 & 3 & 4 & 5 \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \\ 5 \end{matrix} & \begin{matrix} 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 1 & 1 & 1 & 1 & 0 \end{matrix} \end{matrix}$$

Without loss of generality we take labeling 23 into the algorithm 2, and it will generate the 5-by-5 matrix  $A_{23}$ . Again, we put this  $A_{23}$  into the algorithm 1 so we can get possible labeling of 23's children, which are 231, 232, 233 and 234 since we can tell from  $A_{23}$  that vertex 5 is connected to 1, 2, 3 and 4.

$$A_{233} = \begin{matrix} & 1 & 2 & 3 & 4 & 5 & 6 \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \end{matrix} & \begin{matrix} 0 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 & 1 & 0 \end{matrix} \end{matrix}$$

To go to the adjacency matrix representing label 233, we apply algorithm 2. This algorithm begins with the last value of the label and connects it to the highest, valid vertex in the  $n$ -gon. 0s are inserted in the matrix wherever diagonals are invalidated. This process repeats for each value in the label. Thus, the size of the label corresponds to the number of diagonals in the triangulation.

## Further Research and Questions

Once we are able to generate and store the vertices of higher order flip graphs efficiently, it will not be difficult to generate the edges of  $F_n$  by applying **Theorem 1**. The next step will be exploring proper colorings of these graphs and their chromatic numbers. We hope to design a branch-and-bound algorithm to generate colorings of the flip graphs, in order for us to investigate upper bounds on  $\chi(F_n)$  and make conjectures about whether  $\chi(F_n)$  tends to infinity as  $n$  tends to infinity.

## References

- [1] F. Hurtado, M. Noy. *Graph of triangulations of a convex polygon and tree of triangulations*, Computational Geometry, Volume 13, Issue 3, 1999, Pages 179-188.
- [2] White, Dennis. *The Catalan Numbers*. PDF. Minneapolis: University of Minnesota, November 3, 2010.